Workman | Nydegger

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CENTRAL FAX CENTER

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FAX TRANSMISSION COVER SHEET

Date:

December 20, 2004

To:

Amendment

United States Patent & Trademark Office

Fax:

(703) 872-9306

Phone:

From:

Carl T. Reed

Our File:

Serial No.: 10/026.055

Filing Date: December 20, 2001

Our Docket: 15436.436.4

YOU SHOULD RECEIVE ___ 11 PAGE(S), INCLUDING THIS COVER SHEET. IF YOU DO NOT RECEIVE ALL THE PAGES, PLEASE CALL 801-533-9800

Comments:

Please see attached.

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| CERTIFICATE OF TI Applicant(s): Ralph II. Joh | | Docket No. 15436.436.4 | | | | | |
|---|--|---|------------------------|--------------|--|--|--|
| Application No. 10/026,055 | Filing Date 12/20/2001 | Examiner Dung T. Nguyen | Group Art Unit 2828 | | | | |
| Invention: VERTICAL CAVITY SURFACE EMITTING LASER INCLUDING INDIUM IN THE ACTIVE REGION | | | | | | | |
| | | | | | | | |
| I hereby certify that this | | Amendment (Identify type of correspondence) | | | | | |
| is being facsimile transmitt | ed to the United States Patent | | No. <u>(703)</u> | 872-9306 | | | |
| on December 28, | 2004 | | | | | | |
| | | Beth L. H. | or Signing | Certificate) | | | |
| | Note: Each paper must ha | we its own certificate of mailing. | | · | | | |
| | | | | , | | | |
| Attached: | | | | | | | |
| - Amen | dment (8 pgs) dment Transmittal Letter (1 | pg) | | · | | | |
| | | | | · | | | |
| | | | | | | | |

| AMENDMENT TRANSMITTAL LETTER (Large Entity) Applicant(s): Ralph H. Johnson | | | | | Docket No. 15436.436.4 | | | |
|--|-------------------------------------|-----------------------------|-----------------------------|---|--|--|--|--|
| Application No. 10/026,055 | Filing Date 12/20/2001 | Examiner Dung T. Nguyen | | Customer No 022913 | Group Art Un 2828 | t Confirmation No. 8719 | | |
| Invention: VERTICAL CAVITY SURFACE EMITTING LASER INCLUDING INDIUM IN THE ACTIVE REGION | | | | | | | | |
| COMMISSIONER FOR PATENTS: Transmitted herewith is an amendment in the above-identified application. | | | | | | | | |
| The fee has been | calculated and is trans | mitted as shown below | | | | | | |
| | | CLAIMS AS AM | IENDED | | | | | |
| | CLAIMS REMAINING AFTER AMENDMENT | HIGHEST # PREV. PAID FOR | NUMBER | R EXTRA PRESENT | RATE | ADDITIONAL FEE | | |
| TOTAL CLAIMS | 6 - | 20 = | | 0 x | \$50.00 | \$0.00 | | |
| INDEP. CLAIMS | 3 - | 3 = | | 0 x | \$200.00 | \$0.00 | | |
| Multiple Depender | nt Claims (check if appl | licable) | | | | \$0.00 | | |
| TOTAL ADDITIONAL FEE FOR THIS AMENDMENT \$0.0 | | | | | \$0.00 | | | |
| No additional fee is required for amendment. Please charge Deposit Account No. in the amount of A check in the amount of to cover the filing fee is enclosed. The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account 23-3178 Any additional filing fees required under 37 C.F.R. 1.16. Any patent application processing fees under 37 CFR 1.17. Payment by credit card. Form PTO-2038. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. Dated: December 28, 2004 | | | | | | | | |
| CARL T. REED Attorney for Appl Registration No. 4 | | | the Unite class ma P.O. Box | ed States Post ill in an envelop (1450, Alexand) (Date) Signature of | al Service with see addressed to "Cria, VA 22313-1450" | e is being deposited with ifficient postage as first commissioner for Patents, or [37 CFR 1.8(a)] on | | |

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PATENT

Docket No.: 15436.436.4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re application of | |) |
|----------------------|--|--------------|
| | Ralph H. Johnson | { |
| Serial No.: | : 10/026,055 | |
| Filed: | December 20, 2001 |) 2828 .) |
| Confirmation No. | 8713 | } |
| For: | VERTICAL CAVITY SURFACE EMITTING LASER INCLUDING INDIUM IN THE ACTIVE REGION |))) |
| Examiner: | Dung T. Nguyen |) } |

RESPONSE TO OFFICE ACTION DATED SEPTEMBER 28, 2004

Mail Stop AMENDMENT
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

Dear Sir:

In response to the Office action of September 28, 2004, please amend the above-identified application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 4 of this paper.